



The 2020 Small Cap Awards

Thursday June 25th 2020
Virtual Ceremony

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TABLE OF CONTENTS

4

Foreword by
Andrew Buchanan

5

The Evening Agenda

6

The Host and Guest Speaker

7

The Judges

8

IPO of the Year and Executive
Director of the Year

9

Journalist of the Year and
Analyst of the Year

10

VCT Manager of the Year and
UK Smaller Companies Fund
manager of the Year

11

Transaction of the Year and
Innovative Financing of the Year

12

Technology Company of the
Year and Impact Company of
the Year

13

Company of the Year and
Lifetime Achievement

14

Guest List

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CAP AWARDS WE WOULD LIKE TO WISH
EVERYONE A WONDERFUL EVENING.



KNOWN FOR OUR LEGAL EXPERTISE IN
SMALL CAP CORPORATE FINANCE.

Daniel Bellau, Partner
Head of Corporate
daniel.bellau@hamlins.com

hamlins.com
020 7355 6000





FOREWORD BY ANDREW BUCHANAN

There have been plenty of major themes to occupy the minds of investors in the last few years, with obviously the shape of Brexit as one. Now the shape of economic recovery from the virus Covid-19 presents another one. In contrast to Brexit, Covid-19 is a global phenomenon and while commentators may want to compare and contrast differing national reactions and solutions, it is the economic consequences, which most concern investors.

While companies seek help from their respective governments, it is noticeable that it is indebted companies which are at the front of the queue and in the UK that is certainly the case. Perhaps we will all learn the true value of equity as economic recovery gathers pace. Perhaps too the UK government will rescind the favourable tax treatment of interest and promote equity as the most appropriate source of capital.

That is for the future and the review of the lessons to learn from the last few months, although small companies already know that truth. AIM has reached its 25th birthday this June and in the five months to the end of May 2020 has raised more equity capital than in the equivalent period in 2019.

Shareholders and other investors have been supporting the positive cases made by management teams to grow their businesses. AIM will continue to play a vital part in the life of small companies and their growth ambitions and it will continue to support them in different economic circumstances.

AIM, in the last 25 years, has itself grown to be an essential part of the economic fabric and wellbeing of the UK and remains the example of a smallcap market, which others seek to emulate. There is every reason to believe that its provision of equity capital will generate good returns for the next 25 years. The future is something to look forward to.



ANDREW BUCHANAN

2019 Lifetime Achievement Award Recipient



THE EVENING AGENDA

16:00

Welcome Address with our host
Peter Dickson

16:10

Introduction from the main
sponsor, Hamlins LLP

16:15

Introduction from our Chair Judge
Claire Noyce, Managing Partner
and Chief Executive at Hybridan

16:20

Awards Ceremony

17:00

Social Rooms open -
Networking via Zoom

17:30

Finish

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THE HOST - PETER DICKSON

Peter Dickson is the UK's most prolific voiceover artist. He has enjoyed a stellar career spanning almost 40 years. His unique and powerful vocal delivery is the choice of global TV entertainment mogul Simon Cowell. His voice has featured on "Britain's Got Talent" and "The X Factor" in the UK. In a national poll, he was voted as one of the top three iconic UK voices of the decade. From vocal work on radio and TV commercials, commentary for big international stadium events, blue chip corporates, multimedia games, animation and prime-time TV shows – Peter Dickson a.k.a "Voiceover Man" is at the top of everyone's list.



PETER DICKSON
Voiceover Man

GUEST SPEAKER - CLAIRE NOYCE

Claire, Hybridan's founding partner and CEO, was previously at Nomura in the UK Small Cap Life Sciences and Technology Sales and Trading team, and prior to joining Nomura, Claire was a mid-cap equity analyst at Lazard, with a significant involvement in IPOs and capital market transactions. Claire started her career as an analyst and consultant at Datamonitor covering large cap companies. Claire is on the Board of the Quoted Companies Alliance as a non-executive Director and is also Deputy Chairman of the QCA Board. Claire also heads up the Small Cap Awards judging panel of investors and advisors as Chairman.

Claire has a Double Honours Degree from Manchester University, where she also undertook some postgraduate studies. She is fluent in French and German, and actively involved in a number of groups and government initiatives to empower women in the workforce and on Boards. Claire remains a mentor at Manchester University.



CLAIRE NOYCE
Managing Partner, Corporate Finance



THE JUDGES



Dale Bellis
Director of the AiM
Investment Team at Maven
Capital Partners UK LLP

www.mavencp.com

in



James Faulkner
Editor-in-Chief at
Master Investor

www.masterinvestor.co.uk

in



Guy Feld
Fund Manager at Canaccord
GenuityFund Management Ltd

www.canaccordgenuity.com

in



Richard Gill
Head of Research at Align
Research

www.alignresearch.co.uk

in



Connor Grimes
Investment Manager at Seneca
Partners

www.senecapartners.co.uk

in



Helen James
Partner, HW Fisher

www.hwfisher.co.uk

in



Alexandra Lindsay
Investment Director,
Calculus Capital

www.calculuscapital.com



Judith Mackenzie
Partner & Head of Public
Equity at Downing LLP

www.downing.co.uk

in



(Chair) Claire Noyce
Managing Partner and Chief
Executive at Hybridan and
Deputy Chair of the Quoted
Companies Alliance
www.hybridan.com

in



Anand Sambasivan
Co-founder & CEO of
PrimaryBid Limited

www.primarybid.com

in



Dominic Weller
Fund Manager at Octopus
Investments

www.octopusinvestments.com

in



Simone Westerhuis
Managing Director, LGB
Investments

www.lgbco.com

in



Gervais Williams
Head of Equities at
Premier Miton Group plc.

www.mitongroup.com

in

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2020 Nominees

IPO OF THE YEAR

Diaceutics

Brickability Group

Essensys

Argentex Group PLC

Loungers

Pebble Group



2019

Cake Box Holdings Plc



2018

K3 Capital Group plc



2017

Accrol Holdings

2020 Nominees

EXECUTIVE DIRECTOR OF THE YEAR

Matt Jones - CEO of Blancco Technology Group

Giulio Cerroni - CEO of Ixico

Lyn Rees - CEO of Yourgene Health

Jennifer Winter - CEO of Animalcare

Peter Harrison - CEO of Bioventix

David Cicurel - CEO of Judges Scientific



2019

Mike Creedon, CEO -
Scientific Digital Imaging Plc



2018

Bobby Kalar, CEO -
Yu Group PLC



2017

Nick Jarmany, CEO Quixant

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2020 Nominees

JOURNALIST OF THE YEAR

Simon Thompson - Investors Chronicle

Michael Taylor - Investors Chronicle

Mark Shapland - Evening Standard

Joanne Hart - Mail on Sunday

Emma Agyemang - Financial Times

Hannah Godfrey - Professional Adviser



2019

Simon Thompson –
Investors Chronicle



2018

Paul Scott - Stockopedia



2017

Jamie Nimmo –
Evening Standard

2020 Nominees

ANALYST OF THE YEAR

Kartik Swaminathan, Arden Partners

Peter McNally, Panmure Gordon

Jens Lindqvist, Investec

Lorne Daniel, Finn Cap

Kai Korschelt, Canaccord Genuity

George O'Connor, Stifel London



2019

George O'Connor, Technology -
Stifel Nicolaus Europe Limited



2018

Kevin Ashton –
Cantor Fitzgerald



2017

Andrew Blain –
formerly of Cenkos

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2020 Nominees

VCT MANAGER OF THE YEAR

Amati AIM VCT PLC - Dr. Paul Jourdan, David Stevenson,
Anna Macdonald

Unicorn AIM VCT PLC - Chris Hutchinson

Octopus AIM VCT 2 PLC - Kate Tidbury

Maven Income and Growth VCT 1 PLC - Bill Nixon

Seneca Growth Capital VCT PLC - John Davies

Hargreave Hale AIM VCT 1 - Oliver Bedford



**NEW AWARD
FOR 2020**

2020 Nominees

UK SMALLER COMPANIES FUND MANAGER OF THE YEAR

ASI UK Smaller Companies - Harry Nimmo

JPM UK Smaller Companies - Georgina Brittain and Katen Patel

M&G Smaller Companies - Garfield Kiff and Rory Alexander

Invesco UK Smaller Companies Equity (UK) - Jonathan Brown

TM Cavendish AIM B - Paul Mumford

Kames UK Smaller Companies - Elaine Morgan



**NEW AWARD
FOR 2020**

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2020 Nominees

TRANSACTION OF THE YEAR

Centralnic Group - Team Internet

Rockrose Energy - Marathon Oil and Marathon
West of Shetland Limited

Kape Technologies - Private Internet Access

Amryt Pharma - Aegerion

Totally - Greenbrook Healthcare Inspiration
Healthcare acquisition of Vio Holdings



2019

No Award



2018

Proactis Holdings PLC –
Acquisition of Perfect Commerce



2017

Keyword Studios

2020 Nominees

INNOVATIVE FINANCING OF THE YEAR

Yu Group PLC

Simec Atlantis Energy

1pm PLC

Symphony Environmental



**NEW AWARD
FOR 2020**

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2020 Nominees

TECHNOLOGY COMPANY OF THE YEAR

SRT Marine Systems
Oxford Metrics
Blackbird
Avacta
Blanco Technology Group
Reneuron



**NEW AWARD
FOR 2020**

2020 Nominees

IMPACT COMPANY OF THE YEAR

Good Energy
Eden Research
ITM Power
Inspired Energy
Jubilee Metals
Ilika



2019

Kromek Group Plc



2018

Walls and Future



2017

Obtala

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2020 Nominees

COMPANY OF THE YEAR

Yourgene Health

Volex

Renalytix AI

SDI Group

Ergomed

Judges Scientific



2019

Beeks Financial Cloud
Group Plc



2018

Zoo Digital



2017

Gear4Music

2020 Nominees

LIFETIME ACHIEVEMENT AWARD

To Be Announced On The Evening



2019

Andrew Buchanan



2018

Katie Potts



2017

Malcolm Diamond MBE

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GUEST LIST

Jennifer Bodey	1pm Plc	Oliver Harris-St John	Capitalac AI
Ian Smith	1PM Plc	Ben Crawford	CentralNic
Richard Gill	Align Research	Michael Riedl	CentralNic
Amrit Nahal	Allenby Capital	Maureen Jones	Conroy Gold
Justine James	Alma PR	Mary Dowd	Crossword Cyber Security
Anna Macdonald	Amati Global	Robin Stevens	Crowe
Simon Geelon	Amryt Pharma	Ian Bristow	D2W
Joseph Wiley	Amryt Pharma	Michael Laurier	D2W
Jennifer Winter	Animalcare Group	Seig De Vater	Devater
Sara-Louise Porter	Aquis	Stuart Hunt	Diaceutics
Steve Douglas	Arden-Partners	Philip White	Diaceutics
Kartik Swaminathan	Arden-Partners	Judith Mackenzie	Downing
Michael Vinegrad	Avacta	Keith Jones	Drummond Jones
David Davies	Bates Wells	David Immelman	DXS Systems
Keeley Clarke	Belvedere PR	Alex Abrey	Eden Research
Adrian Lambert	Blackbird	Sean Smith	Eden Research
Stephen Streater	Blackbird	Jon Amery	Energy Consulting
Stephen White	Blackbird	Richard Barfield	Ergomed Plc
Ian McDonough	Blackbird AI	Mark Furness	Essensys
Martin Kay	Blake Morgan	David Kinnaird	Essensys
Liz Adams	Blanco	Alan Pepper	Essensys
Matt Jones	Blanco	Mark Shapland	Evening Standard
Adam Moloney	Blanco	Farhan Farani	Farani Taylor
Laura Stewart	Board Intelligence	Emma Agyemang	Financial Times
Stuart Overend	Brickability	Lorne Daniel	finnCap
Alan Simpson	Brickability	Jo Thomas	Ford Sinclair
Steph Watson	Buchanan UK	Charlie Parry	Good Energy
Mark Court	Buchanan.Uk	Adam Wentworth	Good Energy
Malcolm Jones	Bulletin	Daniel Bellau	Hamllins LLP
Alexandra Lindsay	Calculus Capital	Vikki Bentwood	Hamllins LLP
Oliver Bedford	Canaccord	Clare Rooney	Hamllins LLP
Guy Feld	Canaccord	James Ridgewell	Hidden See
Giles Hargreave	Canaccord	Helen James	HW Fisher
Kai Korschelt	Canaccord Genuity	John Beresford-Peirse	Hybridan



GUEST LIST

Derren Nathan	Hybridan	Tim Corcoran	Master Investor
Claire Noyce	Hybridan	Leo Wilson	Master Investor
Niall Pearson	Hybridan	Amanda Taylor	Masterlinvestor
Graeme Purdy	Ilika	Dale Bellis	Mavencp
Hannah Godfrey	Incisive Media	Bill Nixon	Mavencp
Kais Boulaares	Innovant	Caroline Evans	Mind Leap
Neil Campbell	Inspiration-Healthcare	Gervais Williams	Miton Group
Mark Dickinson	Inspired Energy	Tony Cross	Monk Communications
Charles Dickinson	Inspired Energy	Philippa Keith	MSP Secretaries
Jens Lindqvist	Investec	Angus Campbell	Nominis
Michael Taylor	Investors Chronicle	Michael Taylor	NTL World
Simon Thompson	Investors Chronicle	Kate Tidbury	Octopus Investments
Sebastien Dutrieu	IR Magazine	Dominic Weller	Octopus Investments
Hayden Francis	ITM Power	Simon Walton	Odgers
Giulio Cerroni	Ixico	Emma Colven	Oxford Metrics
Joanne Hart	Joanne Hart	Peter McNally	Panmure Gordon
Georgina Brittain	JP Morgan	Mark Anwyl	Peterhouse Capital
Leon Coetzer	Jubilee Metals Group	Gordon Puckey	Phoenix Financial PR
Gareth Owen	Jubilee Metals Group	Asam Presto	Presto money
Waltina Van Rensburg	Jubilee Metals Group	Anand Sambavisan	Primary Bid
David Cicurel	Judges Scientific	Raschid Abdullah	Raschid Abdullah
Brad Ormsby	Judges Scientific	Julian Baines	Renalytix AI
Roisin Hynes	Kames Capital	Fergus Fleming	Renalytix AI
Ido Erlichman	Kape Technologies	Tom McClain	Renalytix AI
Moran Laufer	Kape Technologies	James McCullough	Renalytix AI
Michael Riedl	Keydrive	Joseph Brewer	Saffery
Simone Westerhuis	LGB Investments	Jon Abell	Scientific Digital Imaging
Melissa Gilmour	Lily and Piper	Ken Ford	Scientific Digital Imaging
Jenni Thain	London Stock Exchange	John Davies	Seneca Partners
Lorraine Young	Lorraine Young	Connor Grimes	Seneca Partners
Nick Collins	Loungers	Josh Sparks	Share Registrars
Paul Mumford	LTG Group	Richard Macbeth	ShareRegistrars
Rory Alexander	M & G Investments	Simon Fine	Shore Capital
Garfield Kiff	M & G Investments	Tom Griffiths	Shore Capital



GUEST LIST

Tim Cornelius	Simec Atlantis
Louise Brown	Small Cap Network
Catherine Leftley	ST Brides Partners
George O'Connor	Stifel London
Melissa Gilmour	The Big Exchange
Carolyn Gelling	The International Stock Exchange
Christopher Lee	The Pebble Group
Wendy Lawrence	Totally Plc
Laurence Sacker	UHY Hacker Young
Daren Morris	Volex
Paul McManus	Walbrook PR
Anna Dunphy	Walbrook PR
Lianne Cawthorn	Walbrook PR
Alice Woodings	Walbrook PR
Alex Hambro	Welbeck Capital
Nick Lovering	Whitman Howard
Joanne Cross	Yourgene Health
Lyn Rees	Yourgene Health
Bobby Kalar	Yu Energy
Bobby Shah	Yu Energy
Alex Almeida	
Andrew Buchanan	
Darryl Dalton	
Naude Du Toit	
Samantha Harrison	
Rayyaan Jiwa	
David Maxwell	
Zak Mir	
Isabel Napper	
John Richards	
Stuart Smith	
David Tilston	



CONGRATULATIONS
TO ALL 2020 AWARDS NOMINEES



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info@smallcapnetwork.co.uk



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