



THE SMALL CAP AWARDS  
IN ASSOCIATION WITH  
MASTER INVESTOR

# The 2021 Small Cap Awards

Thursday, 11<sup>th</sup> November 2021

In association with



**masterinvestor**  
RESEARCH • ANALYSE • INVEST

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Partner at Hill Dickinson

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# LIVE EVENTS

## VIDEOS & PODCASTS



The opportunities and challenges of becoming a...



Autumn update: Live webinar with Jim Mellon



Super forecasting the next five years: the macro trend...



Three steps to steady investment growth



Spring update: Live webinar with Jim Mellon



Understanding EIS: A guide to tax-efficient investing

## LATEST FROM OUR BLOG



**Evil Diaries: Feeling sorry for the Saudis**

By [Evil Knievil](#)



**End of week small-cap round up featuring MRL, CRU and ALU**

By [Mark Watson-Mitchell](#)

[READ MORE >>](#)

## BLOG CONTENT



# CONNECTING SMALL CAP COMPANIES TO INVESTORS



## Foreword by Michael Corcoran, Partner at Hill Dickinson

We don't hear enough about small-cap companies at the best of times, so the 2021 Small Cap Awards are a long overdue opportunity to celebrate their successes, not only navigating the difficult (often impossible) trading environment of the last 24 months, but also raising capital, doing deals, growing and innovating, and seeing the disruption as an opportunity to win market share from some of their slower, larger rivals.

In 2020 the world encountered a pandemic that turned the global economy on its head. Investment dried up, stock markets plummeted and the business landscape altered so radically in those first few months that the qualities of agility and nimbleness for which smaller listed companies are feted were crucial. Many of you in the room have come out the other side with stronger, better businesses as a result of those qualities.

At times like this, access to public equity capital pays off. Smaller private companies, unable to access capital as quickly, have found the pandemic much tougher than their listed peers, fuelling an M&A and Private Equity boom. And so, what we are celebrating this evening is the success of individuals, management teams, investors and advisers who have demonstrated remarkable resilience and success in unprecedented market conditions.

Hill Dickinson is a law firm whose corporate and banking teams worked on deals totalling more than £2 billion in the first half of 2021. Yet the majority of the businesses and investors we advise fall into the small-cap category. Why? Because this is the most exciting part of the journey, the part where the magic happens, where success or failure can pivot on one major investment.

The Small Cap Awards celebrate the success of very best professionals and companies within the AIM and Aquis sub-£200m market cap quoted company sector. We are therefore delighted to be sponsoring the event this year and extend our congratulations to all of the finalists this evening on your achievement in coming this far. I am sure we are all agreed that it's good to be back.



**Michael Corcoran**  
Partner Hill Dickinson

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## The Evening Agenda

18:30

Champagne Reception

19:20

Welcome Address from our  
main sponsor Hill Dickinson

19:30

Become – The charity for children in care and  
young care leavers

19:45

Dinner

21:15

Special Guest - Dominic Holland

21:30

Awards Ceremony Hosted by  
Dominic Holland

22:30

Cash Bar Opens

Midnight

Carriages

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## Keynote Speaker - Dominic Holland

Master of observational comedy, we are delighted to welcome Dominic Holland as this year's Keynote Speaker. Dominic has been doing stand up comedy since 1991. He is a Perrier Award winner and has become one of the most regarded comedians of his generation. He's a brilliant event compere and accomplished Awards host.



**Dominic Holland**  
Award Winning Comedian

## Awards Host - Sarah Lowther

With a mission of flushing out inept management and protecting the pension pots of retail investors, Sarah has hosted breakfast shows for Bloomberg, The Money Channel, Share Radio and Thomson Reuters.

She currently deconstructs companies for Master Investor, Proactive Investors, Ise.co.uk and Total Market Solutions. Other clients who've experienced the 'Mrs Merton of the Markets' include The European Union and the Strategic Communications Unit at 10 Downing Street.

Sarah is sought after by blue chips for her honest and gently brutal media training, and when not having close encounters and narrow escapes, she is an allotment owner, plasterer and aromatherapist.



**Sarah Lowther**  
Editor-in-Chief, Master Investor

## Guest Speaker - Katharine Sacks-Jones

I am responsible for working with the team and our board to make sure we deliver our mission to help children in care and young care leavers to believe in themselves and to heal, grow and unleash their potential. We do that by supporting individual children and young people through our services and by campaigning for a better care system. An important part of my role is about listening to what young people tell us matters to them and what they want to see change.



**Katharine Sacks-Jones**  
CEO at Become

**BECOME.**  
THE CHARITY FOR CHILDREN IN CARE  
AND YOUNG CARE LEAVERS

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## Trusted advisers to pre-IPO and sub-£200m market cap companies

Hill Dickinson has extensive experience leading IPOs on the AIM Market, AQSE Market and the Standard Segment of the Main Market, advising clients on their listing, fundraising, corporate governance, takeovers and M&A

We would like to congratulate all of this year's nominees and award winners

For more information, please contact:

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**Shantanu Sinha**

Partner

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## The Judges



**Gervais Williams**  
Head of Equities at  
Premier Miton Group plc.

[www.mitongroup.com](http://www.mitongroup.com)

in



**Judith MacKenzie**  
Partner & Head of Public Equity  
at Downing LLP

[www.downing.co.uk](http://www.downing.co.uk)

in



**Stella Panu**  
Partner,  
Maven Capital Partners UK LLP

[www.mavencp.com](http://www.mavencp.com)



**Dominic Weller**  
Fund Manager at  
Octopus Investments

[www.octopusinvestments.com](http://www.octopusinvestments.com)

in



**Hugo Vaux**  
Fund Manager  
Guinness Asset Management

[www.guinnessfunds.com](http://www.guinnessfunds.com)



**Lucy Bloomfield**  
Deputy Fund Manager, Canaccord  
Genuity Fund Management

[www.canaccordgenuity.com/wealth-management-uk/](http://www.canaccordgenuity.com/wealth-management-uk/)



**Miles Nolan**  
Fund Manager, Sterling  
Investments & MI Discretionary  
Unit Fund

[www.sterlingim.co.uk/about-us/](http://www.sterlingim.co.uk/about-us/)



**Richard Gill**  
Head of Research at  
Align Research

[www.alignresearch.co.uk](http://www.alignresearch.co.uk)



**Claire Noyce**  
CEO, Hybridan LLP

[www.hybridan.com](http://www.hybridan.com)

in

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## IPO of the year

### 2021 Nominees

Various Eateries Plc  
Elixirr International Plc  
Calnex Solutions Plc  
Kooth Plc  
Verici DX Plc  
Inspects Group Plc



**2020**

Diacuetics



**2019**

Cakebox Holdings Plc



**2018**

K3 Capital Group Plc

## ESG of the Year

### 2021 Nominees

Water Intelligence Plc  
TPXimpact Holdings Plc  
Symphony Environmental Technologies Plc  
ILIKA Plc  
Trackwise Designs Plc  
Invinity Energy Systems Plc



**New award  
for 2021**

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growth companies

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## AQSE Company of the Year

### 2021 Nominees

DXS International plc

Incanthera plc

Chapel Down Group plc



New award  
for 2021

## Analyst of the Year

### 2021 Nominees

Robert Sanders, Shore Capital Group

Chris Donnellan, Cenkos Securities

Mark Brewer, finnCap Group

Peter McNally, Panmure Gordon & Co

Kevin Ashton, Singer Capital Markets

Lorne Daniel, finnCap Group



2020

Lorne Daniel - finn Cap



2019

George O'Connor, Technology -  
Stifel Nicolaus Europe Limited



2018

Kevin Ashton – Cantor Fitzgerald

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## Journalist of the Year

### 2021 Nominees

Joanne Hart, Mail on Sunday

Simon Thompson, Investors Chronicle

Smit Berry, The Small Company Sharewatch

Andrew Hore, AIM Journal

Richard Evans, Questor – Telegraph

David Thornton, Growth Company Investor



2020

Joanne Hart - Mail On Sunday



2019

Simon Thompson –  
Investors Chronicle



2018

Paul Scott – Stockopedia

## UK Smaller Companies Fund Manager of the Year

### 2021 Nominees

Premier Miton UK Smaller Companies.  
*Managers: Gervais Williams & Martin Turner*

Octopus UK Micro Cap Growth  
*Manager: Richard Power*

Baillie Gifford British Smaller Companies  
*Manager: Charlie Broughton & Steve Vaughan*

Marlborough Nano Cap Growth  
*Managers: Guy Feld & Eustace Santa Barbara*

Marlborough UK Micro Cap Growth  
*Managers: Guy Feld & Eustace Santa Barbara*

ES R&M UK Equity Smaller Companies  
*Manager: Daniel Hanbury*



2020

JPM Georgina Brittain and  
Katen Patel

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[www.hybridan.com](http://www.hybridan.com)



## Director of the Year

### 2021 Nominees

John Samuel, Hargreaves Services Plc  
Julian Baines, MBE, EKF Diagnostics Plc  
Mike Creedon, SDI Group Plc  
Neal Gandhi, TPXimpact Holdings Plc  
Klaas van der Leest, Intercede Plc  
Dr Alastair Smith, Avacta Group Plc



**2020**

David Cicurel, CEO –  
Judges Scientific



**2019**

Mike Creedon, CEO –  
Scientific Digital Imaging Plc



**2018**

Bobby Kalar, CEO –  
Yu Group PLC

## Company of the year

### 2021 Nominees

Water Intelligence Plc  
MaxCyte Inc  
EKF Diagnostics Holdings Plc  
Gear4Music Ltd  
TPXimpact Holdings Plc  
Avacta Group Plc



**2020**

Volex



**2019**

Beeks Financial Cloud Group Plc



**2018**

Zoo Digital

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## Lifetime Achievement Award

To be announced on the evening



**2020**

Giles Hargreave



**2019**

Andrew Buchanan



**2018**

Katie Potts

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## Guest List A-Z

Adam Lawson - Stifel	Danny Lopez - AQSE
Adrian Hargrave - Water Intelligence plc	David Battle - Guild Financial Advisory
Agis Karzis-Anastasiou - AQSE	David Coffman - Novum Securities
Alastair Smith - Avacta	David Stredder - Mello Events
Alex Game - Unicorn Asset Management	David Stevens - AQSE
Alexandra Lindsay - Calculus Capital	David Wilson - Avacta
Alice Maclaren - Novum Securities	Derren Nathan - Hybridan LLP
Amy Grosvenor - Hill Dickinson	Diana Syziu - Hill Dickinson
Andrea Melia - Canaccord Genuity	Dimitri Dimitriou - Incanthera
Andrew Carter - Chapel Down	Dominic Weller - Octopus Investments
Andrew Saunders - Avacta	Dominic Holland - Award Winning Comedian
Anthony Eastman - Orana Corporate LLP	Dominic Prentis - Novum Securities
Aubrey Powell - Singer Capital Markets	Doug Armour - Share Registrars - Host
Belinda Keheyman - AQSE	Dr Kevin Hammond - Incanthera
Ben Richardson - SulNOx Group	Emily Rawlins - Hill Dickinson
Ben Bidnell - Shipleys LLP	Eustace Santa-Barbara - Canaccord Genuity
Bob Huxford - SEC Newgate	Evgenia Stamatelou - AQSE
Bobbie Hilliam - Canaccord Genuity	Fiona McLaughlin - Avacta
Brian Maeng - Hill Dickinson	Fran Hales - Fran Hales
Camille Gochez - AQSE	Francois De Lange - Hill Dickinson
Caroline Murray - Incanthera	Gavin Sathianathan - Novum Securities
Cassie Herlihy - Gresham House Asset Management	George Kapes - Acute Change
Charles Bray - Novum Securities	George O'Connor - Stifel
Chris Neoh - Majestic Corporation	Geri Cowell - Become
Chris Glasper - Singer Capital Markets	Gervais Williams - Premier Miton
Claire Noyce - Hybridan	Giles Hargreave - Canaccord Genuity
Claire Griffin - Good Energy Group	Guy Feld - Canaccord Genuity
Cyprien Berlan - Hill Dickinson	Hannah Willison - Maven Capital Partners

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## Guest List

Hugh McAlister - Novum Securities	Lucy Bloomfield - Canaccord Genuity
Hugo Vaux - Guinness Funds	Lucy Bowden - Novum Securities
Isabella Spencer-Higgins - Hill Dickinson	Marie Jolly - Stifel
Isobel Finnie - Incanthera	Matt Currie - Seneca Partners
Jack Clipsham - Kreston Reeves LLP	Matt Tonge - Liontrust Asset Management
James Woods - Winterfloods	Matt Butlin - Allenby Capital
James Paton-Philips - Hill Dickinson	Matthew Montgomery - Hill Dickinson
Jaspal Sekhon - Hill Dickinson	Michael Vinegrad - Avacta
Jitka Holubarova - Share Registrars	Michael Bennett - Hill Dickinson
Jo Turner - Cairn Financial	Michael Corcoran - Hill Dickinson
John Bridges - Guild Financial Advisory	Mike McCudden - Crowd X
John Wilson - Guild Financial Advisory	Miles Nolan - Canaccord Genuity
Jonathan Dighe - Singer Capital Markets	Natalia Fryda - Hill Dickinson
Jonathan Marren - Invinity Energy Systems	Neal Ghandi - TPXImpact
Jonathan Morris - Hill Dickinson	Neil Bell - Avacta
Julian Baines, MBE - EKF Diagnostics	Niall Pearson - Hybridan LLP
Karen Harrison - Avacta	Nicholas Nelson - NexFin Limited
Katharine Sacks-Jones - Become	Nick Woodard - ETZ Plc
Keith Harris - Rural Broadband Solutions	Nick McCarthy - BDB Pitmans
Kevin Ashton - Singer Capital Markets	Nick Tulloch - Voyager Life
Klaas Van Der Leest - Intercede Plc	Oliver Hart - Hill Dickinson
Laura Brogden - Incanthera	Oliver Pilkington - BDB Pitmans
Lauren Haslem - Novum Securities	Paul Vann - Walbrook PR
Leo Wilson - Master Investor	Philip Lamb - BDB Pitmans
Leo Godsall - Guild Financial Advisory	Philippa Keith - MSP Secretaries
Lisa Jones - Mello Events	Rachael Drabble - Hill Dickinson
Lizzie Dixon - Master Investor	Raj Kohli - Invinity Energy Systems
Lou Brown - Small Cap Network	Rianne Byott - Hill Dickinson

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## Guest List

Richard Power - Octopus Investments  
Richard Gill - Align Research  
Richard Potts - Novum Securities  
Robert Hayward - Oberon Investments  
Robert Stuttaford - Reach  
Roderick Palmer - Hill Dickinson  
Ross Andrews - Guild Financial Advisory  
Sam Hudson - Hill Dickinson  
Sangita Shah - Kinovo plc  
Sara-Louise Porter - AQSE  
Sarah Lowther - Master Investor  
Shantanu Sinha - Hill Dickinson  
Simon Ward - Incanthera  
Stacey-Ann Barber - Singer Capital Markets  
Stella Panu - Maven Capital  
Steve Metcalfe - Novum Securities  
Steven Bauer - DXS International  
Susie Hudson - Alma PR  
Suzanne Brock - Incanthera  
Suzanne Brocks - Incanthera  
Tim Corcoran - Master Investor  
Tim McCarthy - Incanthera  
Tireni Ajilore - BGF  
Tom Gardiner - Avacta  
Vivienne Cassley - AQSE

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"At 18 I needed somebody who actually wanted to be there to help and care for me. Had I received more holistic support, my transition into adulthood would have been very different."

- Casey, a care leaver\*

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CONGRATULATIONS

TO ALL 2021 AWARDS NOMINEES



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