



THE SMALL CAP AWARDS
IN ASSOCIATION WITH
MASTER INVESTOR

The 2023 Small Cap Awards

Thursday, 15th June 2023

In association with



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LIVE DIGITAL & IN-PERSON EVENTS

VIDEOS & PODCASTS



TPX Impact Holdings PLC: An incredibly cash generative...



ANGLE PLC – a small British company changing the worl...



CentralNic Group - fast-growing technology compa...



Investing in the Future of Longevity - the Ketone...



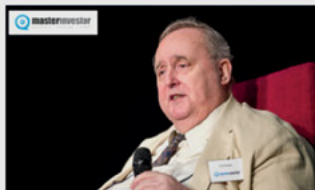
RBG Holdings - A cash generative business which...



Commodities Investing: Lean into inflation, spend time in...

LATEST FROM OUR BLOG

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Evil Diaries: Feeling sorry for the Saudis

By [Evii Knievil](#)



End of week small-cap round up featuring MRL, CRU and ALU

By [Mark Watson-Mitchell](#)

BLOG CONTENT



CONNECTING COMPANIES WITH INVESTORS

Foreword by Michael Corcoran, Partner at Hill Dickinson

It has been another bumpy 12 months in the World of Small-Caps.

Continuing war in Ukraine, energy prices only now coming down, inflation stubbornly high, interest rates to match. Unions still angry. We still can't find a way to build new houses where people want to live. The CBI in disgrace. Higher Corporation Tax. So many tax levers to pull, or spending on white elephant projects to be cut, but the public don't like Big Business at the best of times, and so this lever was perceived as the least politically damaging. Incomprehensible with Ireland on our doorstep with 10% tax rates raking it in with record receipts going up every year supported by record inward investment. We are led by donkeys.

But despite the continued challenging business environment in the UK (the papers call it "hostile" and I would agree) and despite the global head-winds, small-cap companies in the UK continue to thrive. Management teams are getting battle-hardened. If you can build great businesses in this environment, often with less capital but the same pressure and expectation and competition, you are building a business that will stand the test of time, and with the right tail-winds will achieve the growth and success in the years to come.

I am convinced that the second half of 2023 will see the beginning of the Roaring '20s we were promised 4 years ago. The UK still has plenty of talent, London still attracts some of the brightest and best. Our Universities remain the best in Europe (and we are slowly getting better at getting our ideas and intellectual property privately funded and out of the labs). VCTs and EIS funds raised record sums

A quick look at the nominees for awards, and the deals that are highlighted, should fill everybody in the room with confidence that with a better business environment the businesses represented, and the entrepreneurs in this room, will achieve great success and create wealth for investors and founders and management teams (and lawyers) in the years ahead. The 2023 Small Cap Awards are a great opportunity to celebrate the successes of small listed companies navigating the difficult (often impossible) market conditions, raising capital, doing deals, growing and innovating, winning market share from some of their slower, larger rivals.

Hill Dickinson's corporate and banking teams worked on deals totalling more than £1 billion in the first half of 2023. Great businesses being bought and sold, completing a listing or raising secondary capital, securing pre-IPO funding, or PE or VC backing. Money makes the World go round and we want to work with the best fast-growth companies in the UK to help them reach their potential.

The Small Cap Awards celebrate the success of the very best professionals and companies quoted on AIM and AQSE. We are therefore delighted to be sponsoring the event this year and extend our congratulations to all of the finalists this evening on your achievement in getting this far and wish you all good luck.



Michael Corcoran
Partner, Hill Dickinson LLP
Hill Dickinson

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The Evening Agenda

- 18:30** Champagne Reception
- 19:15** Welcome Address from our main sponsor Hill Dickinson
- 19:20** Guest Speaker – Merryn Somerset-Webb
- 19:45** Dinner
- 21:15** Video Presentation from Flic – Financial Literacy & Inclusion Campaign, Supported by the Financial Times
- 21:30** Awards Ceremony hosted by Peter Dickson
- 22:30** Cash Bar Opens
- Midnight** Carriages

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Awards Host - Peter Dickson, Voiceover Man

Peter Dickson is the UK's most prolific voiceover artist. He has enjoyed a stellar career spanning almost 40 years. His unique and powerful vocal delivery is the choice of global TV entertainment mogul Simon Cowell. His voice has featured on "Britain's Got Talent" and "The X Factor" in the UK. In a national poll, he was voted as one of the top three iconic UK voices of the decade.



Peter Dickson
Voiceover Man

Guest Speaker - Merryn Somerset Webb

Merryn Somerset Webb is a hugely popular speaker and acclaimed commentator on economics, financial markets and personal finance. In November 2022, she became a Senior Columnist at Bloomberg Opinion where she will write a weekly column on personal finance and investment, she will also have a podcast. Merryn is among the most well-respected and prominent voices in financial journalism, having won multiple awards including Personal Finance Journalist of the year in 2018, and Finance Commentator of the Year in 2019. Previously, she was the Editor in chief of Moneyweek, the best selling financial magazine in the UK, and a contributing editor at the Financial Times.



Merryn Somerset Webb
Consumer Editor, Financial Times

Flic – 2023 Chosen Charity

FT FLIC will promote financial literacy where it is needed most to have significant, sustainable societal impact. The charity will work at the grassroots level but will also seek to influence the macro policy while building a platform of homegrown and partner content that can be leveraged globally for maximum effect. Financial resilience can be boosted enormously by understanding how money works in everyday life. Their initial focus will be on the UK, though they will aim to expand globally over time. The wealth gap in the UK and around the world has been widened by the Covid pandemic, exacerbating deprivation for many, adding to the challenges facing young people and weighing disproportionately on women.



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TRUSTED SUPPORT FOR LISTED BUSINESSES

haysmacintyre's Transaction Advisory Services team has a wealth of experience in supporting companies in the small and mid-cap market who are looking to list via IPO or with subsequent transactions. We have experience across a range of markets including the London Stock Exchange Main Market, AIM, Aquis and IPSX.

We are also specialists in acting for listed businesses as auditors and take the complicated nature, high levels of regulation and short reporting deadlines seriously. We work closely with our clients to ensure that we not only deliver a quality audit but add value during the process.

Get in touch to find out how we can work with you.

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The Judges



(Chair) Gervais Williams
Head of Equities at
Premier Miton Group plc.

www.premiermiton.com

in



Richard Gill
Head of Research at
Align Research

www.alignresearch.co.uk



Judith MacKenzie
Partner & Head of Public Equity
at Downing LLP

www.downing.co.uk

in



Charlotte Meyrick
UK Equities Fund Manager at
Aviva Investors

www.avivainvestors.com

in



Hugo Vaux
Fund Manager
Guinness Asset Management

www.guinnessgi.com

in



Lucy Bloomfield
Deputy Fund Manager, Canaccord
Genuity Fund Management

www.canaccordgenuity.com/wealth-management-uk/

in



Alexandra Lindsay
Investment Director at
Maven Capital Partners

www.mavencp.com



Miles Nolan
Fund Manager, Sterling Investments
& MI Sterling Select Companies Fund

www.sterlingim.co.uk

in



Ivan Sedgwick
Investment Director,
LGB & Co

www.lgbco.com

in



Dominic Weller
Fund Manager at
Octopus Investments

www.octopusinvestments.com

in

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IPO of the year

2023 Nominees

Aurrigo International

CleanTech Lithium

EnSilica Plc

Facilities By ADF

Smarttech247 Group Plc

Previous Winners

2022 Winner

Saietta Electric Drive

2021 Winner

Calnex Solutions

2020 Winner

Diacuetics

ESG of the Year

2023 Nominees

AFC Energy

Blanco Technology

Eneraqua Technologies

Getech

Invinity Energy Systems

Sureserve

Previous Winners

2022 Winner

TPX Impact Holdings Plc

2021 Winner

Symphony Environmental

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Trusted advisers to pre-IPO and sub-£200m market cap companies

Hill Dickinson has extensive experience leading IPOs on the AIM Market, AQSE Market and the Standard Segment of the Main Market, advising clients on their listing, fundraising, corporate governance, takeovers and M&A.

We would like to congratulate all of this year's nominees and award winners.

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Ian Gillis

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Transaction of the Year

2023 Nominees

Afentra - Oil assets acquisition
Ashtead Technology - Hiretech acquisition
Frenkel Topping - £10 million fundraise
Journeo - IGL acquisition
Oxford Metrics - sale of Yotta

Previous Winners 

2022 Winner

Avingtrans - Peter Brotherhood Proposal

2020 Winner

Kape Technologies

Technology Company of the Year

2023 Nominees

Avacta Group Plc
Cerillion Technologies
Eagle Eye
Equals Group
Netcall Plc

Previous Winners 

2022 Winner

D4t4 Solutions

2021 Winner

Avacta

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that *really*

care about

their shareholders

We are the high quality registrar for informed businesses that appreciate the benefits of a professional and personal service and that really care about their shareholders.

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Journalist of the Year

2023 Nominees

Smit Berry – The Small Company Sharewatch

Joanne Hart – Mail On Sunday

Andrew Hore – AIM Journal

Paul Scott – Stockopedia

David Thornton – Growth Company Investor

Previous Winners

2022 Winner

Simon Thompson – Investors Chronicle

2021 Winner

Andrew Hore, AIM Journal

2020 Winner

Joanne Hart, Mail On Sunday

Executive Director of the Year

2023 Nominees

Henrik Bang - CEO of Netcall

Stuart Green - CEO of Zoo Digital

Louis Hall - CEO of Cerillion Technologies

Leslie Hill - CEO of Record

Graham Ritchie - CEO of Ricardo

Previous Winners

2022 Winner

Neal Gandhi – TPX Impact Holdings

2021 Winner

Julian Baines, MBE – EKF Diagnostics

2020 Winner

David Cicurel, CEO – Judges Scientific

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IPOs

£300⁺_m

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beyond, grow your business with
Aquis Stock Exchange.

aquis.exchange

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Aquis Company of the Year

2023 Nominees

Chapel Down
Equipmake Holdings
National Milk Records
RentGuarantor Holdings Plc

Previous Winners

2022 Winner
Shepherd Neame Limited

2021 Winner
DXS International

UK Smaller Companies Fund Manager of the year

2023 Nominees

Fidelity UK Smaller Companies -
Jonathan Winton
Artemis UK Smaller Companies -
Mark Niznik/William Tamworth
VT Teviot UK Smaller Companies -
Barney Randle/Dan Vaughan
Thesis Stonehage Fleming AIM -
Paul Mumford/Nick Burchett
Gresham House UK Smaller Companies -
Ken Wotton

Previous Winners

2022 Winner
Anthony Cross, Julian Fosh,
Matthew Tonge, Victoria Stevens & Alex Wedge

2021 Winner
Gervais Williams and Martin Turner

2020 Winner
JPM Georgina Brittain and Katen Patel

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Guild Financial Advisory – Masters of Finance

We are an independent corporate finance advisory boutique focused on providing advice and raising money for fast growing ambitious companies, both listed and private.

Ross Andrews | Director

Email: ross.andrews@guildfin.co.uk

www.guildfin.co.uk

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Diversity, Inclusivity & Engagement Award

2023 Nominees

Bloomsbury Publishing

Braemar Shipping

Galliford Try

Gym Group

Northcoders

XPS Pensions Group

Previous Winners 

2022 Winner
TPXimpact Holdings Plc

Dividend Hero of the Year Award

2023 Nominees

Bioventix

Cerillion

SCS

Warpaint London

XPS Pensions Group

New Award for 2023 

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is proud to be a sponsor of

Small Cap Network's annual Small Cap Awards 2023

celebrating professionals and companies in the
sub-£350m market cap quoted company sector.

**Our congratulations
to all the successful nominees and winners.**

Analyst of the Year Award

2023 Nominees

Mark Brewer - FinnCap
Chris Donnellan - Cenkos Securities
Adrian Kearsley - Panmure Gordon
Jessica Pok, CFA - Peel Hunt
Kai Korschelt - Cannacord Genuity
Julie Simmonds - Panmure Gordon
Edward Thomas - Liberum Capital

Previous Winners

2022 Winner
Julie Simmonds - Panmure Gordon

2021 Winner
Kevin Ashton - Singer Capital Markets

2020 Winner
Lorne Daniel, finn Cap

Company of the Year Award

2023 Nominees

Cerillion Plc
Netcall Plc
Record Plc
Ricardo Plc
Yu Group
Zoo Digital

Previous Winners

2022 Winner
Bloomsbury Publishing

2021 Winner
EKF Diagnostics

2020 Winner
Volex

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Lifetime Achievement Award

2023 Nominees

To be announced on the evening

Previous Winners

2022 Winner
David Evans

2021 Winner
David Stredder

2020 Winner
Giles Hargreave

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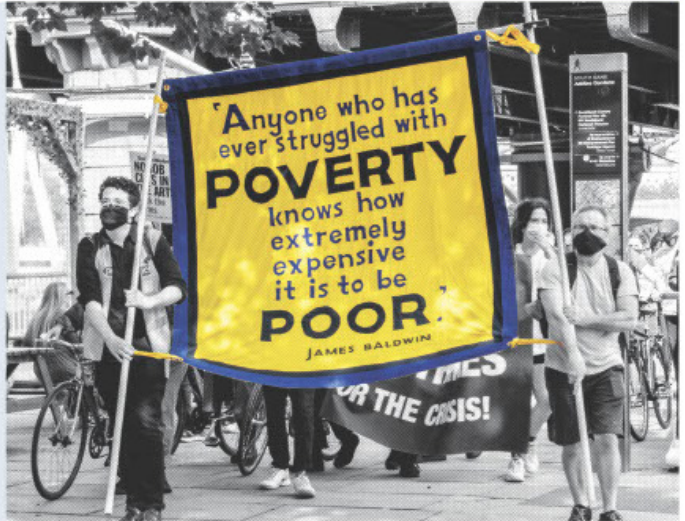
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In these times of inflation and rising bills it has never been more important to know how to manage money. Teaching people to navigate the financial world can have a significant, sustainable impact on society.

FT Flic, the charity supported by the Financial Times, aims to bring a rigorous grounding in the basic blocks of financial life management to those who need it most.



About FT Flic

Financial resilience can be boosted enormously by understanding how money works in everyday life. Our initial focus has been on the UK, though we aim to expand globally over time.

The wealth gap in the UK and around the world has been widening since the Covid pandemic, exacerbating deprivation for many, adding to the challenges facing young people and weighing disproportionately on women.

We hope for a future where everyone feels capable of navigating the financial world, thanks to a more tailored financial education, simpler products and more straightforward information.

Our aim is to ensure that those who were excluded from a rigorous training in just how the financial world works when growing up can later access comprehensive, simple and, where necessary, bespoke learning resources to build their knowledge and confidence.

Supported by the world's premier brand in financial journalism and a high-calibre board of founding trustees, the charity aims to become the global hub for content and information on financial literacy.

We want to balance our work in a number of ways:



We will develop content to educate



We will lobby for policy change from government and for better practices from financial services providers



We will collect data and conduct research to better understand the gaps in financial literacy and their causes, building on and propagating any good material that exists already.



Read more about our mission and strategy at FTFlic.com. You can also donate at our website or by scanning this QR code.





CONGRATULATIONS

TO ALL 2023 AWARDS NOMINEES

Guest List A-Z

Pooja Aggarwal	Bloomsbury Publishing	David Brennan	haysmacintyre
Ross Andrews	Guild Financial Advisory	John Bridges	Guild Financial Advisory
Stefano Aquilino	Allenby Capital	Simon Bridges	Canaccord Genuity
Doug Armour	Share Registrars	David Brissenden	Eneraqua (Cenergist)
Ginni Arnold	Bloomsbury Publishing	Richard Brooks	haysmacintyre
Zara Azam	Yu Group	Lou Brown	Small Cap Network
Steven Bailey	Yu Group	Karl Burnett	Bloomsbury Publishing
Nicola Baldwin	haysmacintyre	Matt Butlin	Allenby Capital
Rayona Basu	Hill Dickinson	Vivienne Cassley	Aquis Exchange PLC
Ben Beadle	RentGuarantor	Amelia Chung	Hill Dickinson
Angela Beardsmore	Yu Group	Gowan Clarke	Equals Group
John Behets	Photographer	Ian Cliffe	haysmacintyre
Dale Bellis	Maven Capital Partners	Penny Coates	Aurrigo
Cyprien Berlan	Hill Dickinson	Jez Coates	Aurrigo
Jasper Berry	Cenkos Securities	David Coffman	Novum Securities
Vivek Bhardwaj	Allenby Capital	Janet Collyer	EnSilica
Derek Bikerstaff	One Health Group	Brian Colquhoun	Maven Capital Partners
Richard Billington	Netcall	Tim Corcoran	Master Investor
Lee Bird	Guild Financial Advisory	Chris Cork	haysmacintyre
Katy Birkin	Cenkos Securities	Andrew Cornish	Aurrigo
James Bishop	Equipmake Holdings	Ines Cornwall	Equals Group
Lucy Bloomfield	Canaccord Genuity Asset Management	Sam Crossdale	RentGuarantor
Peter Bradley	Guild Financial Advisory	David Crowe	Yu Group
David Brennan	haysmacintyre	Edward Dakin	Equals Group
Peter Bradley	Guild Financial Advisory	Lorne Daniel	Maven Capital Partners

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Robert Dann	IR Society	Stuart Green	Zoo Digital
Jon Dawson	haysmacintyre	Amy Grosvenor	Hill Dickinson
Richard Dawson	RentGuarantor	Ian Grubb	Aurrigo
Francois De Lange	Hill Dickinson	Jeremy hammond	haysmacintyre
Navaz Dean	Yu Group	Andrew Harrington	Maven Capital Partners
Jack Delaney	Hill Dickinson	Jonathan Harrop	Guild Financial Advisory
Jack Dervyn	RentGuarantor	Oliver Hart	Hill Dickinson
Peter Dickson	Awards Host	Joanne Hart	Mail On Sunday
Paul Dixon-Box	Maven Capital Partners	Graham Herring	haysmacintyre
Mason Doick	InfiniT	Danielle Higgins	Hill Dickinson
Barry Downes	Sure Valley Ventures	Bruce Hiscock	Bioventix
Rachael Drabble	Hill Dickinson	Matthew Hitchen	Knights
Graham Duncan	RentGuarantor	Mark Hodgkins	EnSilica
Elly Falbo	Hill Dickinson	Jitka Holubarova	Share Registrars
Ian Foley	Equipmake Holdings PLC	James Horingold	haysmacintyre
Flora Foreman	haysmacintyre	Alan Howarth	Cerillion Technologies
Isobel Forester-Bennett	Riverfort Smart Capital	Steven Hume	Guild Financial Advisory
Paul Foy	RentGuarantor	Michelle Jarvis	Equals Group
Mark Frankcom	NMR plc	Shane Johnson	Equals Group
Jeremy Garcia	Vigo Consulting	Simon Johnson	Maven Capital Partners
Miles Garner	Aurrigo	Nick Josh	Guild Financial Advisory
Sanne Gerdson	Hill Dickinson	Bobby Kalar	Yu Group
Richard Gill	Align Research	Sophie Keeley	Master Investor
Lewis Girdwood	Aurrigo	Prof. David Keene	Aurrigo
Leo Godsall	Guild Financial Advisory	Graham Keene	Aurrigo

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Brian Kinane	Sure Valley Ventures	Sara Mullen	Hill Dickinson
David Klass	Hill Dickinson	Ronan Murphy	Smartech 247
Tomas Klassen	Guild Financial Advisory	Samantha Myers	Gowlings
Evangeline Klassen	Guild Financial Advisory	Fungai Ndoro	haysmacintyre
Kai Korschelt	Canaccord Genuity	Millie Newton	Hill Dickinson
Ian Lankshear	EnSilica	Bill Nixon	Maven Capital Partners
David Lee	haysmacintyre	Miles Nolan	Sterling Investments
Jason Lee	RentGuarantor	Sharon O'Donnell	Hill Dickinson
Jon Levinson	SI Capital	Veronika Oswald	InfiniTX
James Lewis	Shard Capital	Matt Ovenden	Borrow a Boat
Alexandra Lindsay	Maven Capital Partners	Hayley Palmer	Canaccord Genuity
Nick Lowe	Journeo Plc	Cleo Papathanasopoulou	Maven Capital Partners
Sarah Lowther	Master Investor	Portia Patel	Canaccord Genuity
Martin Luke	Guild Financial Advisory	Sarah Payne	Tern Plc
Alan Machesney	Yu Group	Niall Pearson	Hybridan
Malcolm Maclean	Equals Group	Hayley Peters	Eneraqua (Cenergist)
Darren Maher	Journeo Plc	Andrew Phillips	Equals Group
Chris Mann	EnSilica	Jos Pinnington	Allenby Capital
Tim McCall	Aurigo	John Pocock	Maven Capital Partners
Steven McGillivray	Equipmake Holdings	Jeremy Porter	Allenby Capital
Nick Michaels	RentGuarantor	Sara-Louise Porter	Aquis Exchange PLC
Zak Mir	Lift Global Plc	Karen Proctor	Knights
Jonathan Morris	Hill Dickinson	Dearbhla Quigley	Forsters LLP
Martha Morrow	Hill Dickinson	Emily Rawlins	Hill Dickinson

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Megan Ray	RentGuarantor	Bob Walters	Yu Group
Trisha Reay	Maven Capital Partners	Tim Ward	Lifetime Achievement
James Reeve	haysmacintyre	Mark Wheeler	Riverfort Smart Capital
Nick Ridler	Aurrigo	Chris Wilks	Eco Animal Health Group
Jude Roberts	Aquis Exchange PLC	Gervais Williams	Premier Miton Group Plc
Raluca Saceanu	Smartech 247	Leo Wilson	Master Investor
Sandra Sanz	Equals Group	Adam Young	Yu Group
Ivan Sedgwick	LGB & Co	Joan Yu	Armstrong Teasdale
Jaspal Sekhon	Hill Dickinson		
Tugce Sik	Hill Dickinson		
James Simcox	Equals Group		
James Sinclair Ford	haysmacintyre		
Russ Singleton	Journeo Plc		
Shantanu Sinha	Hill Dickinson		
Christiana Stewart-Lockhart	EISA		
Brian Stockbridge	haysmacintyre		
Ondraya Swanson	haysmacintyre		
Diana Syziu	Hill Dickinson		
Phil Taylor	haysmacintyre		
John Taylor	Tap Global Group Plc		
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